

## Services & Industries

# Internal Investigations

## OVERVIEW

Increasingly, general counsel, management and boards of directors confront claims of alleged wrongdoing, ethical violations or other forms of misconduct on the part of the company, its employees or agents. Whether these allegations are leveled by a whistleblower, shareholder or employee, or are identified through a compliance program or regulatory inquiry, our lawyers are ready to assist.

We conduct prompt and diligent investigations of the claims and issues at play, and offer advice and counsel to management, boards of directors, and board committees regarding what occurred and next steps. Our investigations routinely require the resolution of complex factual issues and involve local, national and, in some cases, international components. Some of these investigations have included:

- Investigation of insurance company regarding accounting treatment for insurance products.
- Investigation of utility company regarding claims of environmental contamination and bidding practices.
- Investigation of financial services company concerning financial disclosures and corporate governance.
- Investigations of numerous types of alleged misconduct by employees in the course of their employment.
- Investigation of public official concerning alleged violations of campaign-financing laws.
- Investigation of alternative energy company concerning facilitation of transactions in an alleged violation of sanctions regime.
- Investigation for energy company concerning joint venture partner and anti-corruption concerns.
- Investigation of technology company regarding sales of software.
- Investigation of company regarding suspected embezzlement by employees.

Consistent with our team's experience in numerous investigations and related matters, the firm has been appointed, among other things, as monitor of a large healthcare company in connection with Medicaid and Medicare practices. The detailed work required there has drawn upon and enhanced

the group's investigative capabilities.

## INSIGHTS

### **Giving Up on Impartiality: The Threat of Public Capitulation to Contemporary Attacks on Rule of the Law**

September 26, 2019

Day Pitney partner Chase Rogers, former Chief Justice of the Connecticut Supreme Court, co-authored an article, "Giving Up on Impartiality: The Threat of Public Capitulation to Contemporary Attacks on Rule of the Law," published by the Institute for the Advancement of the American Legal System (IAALS) as part of its series entitled "Are We at a Boiling Point?"

### **Parade of Corporate Horribles: Responding Ethically to a New Problem Around Every Corner**

November 27, 2018

Day Pitney sponsored a program, "Parade of Corporate Horribles: Responding Ethically to a New Problem Around Every Corner," presented by the Westchester/Southern Connecticut Chapter of the Association of Corporate Counsel (ACC).

### **Forfeiture and the Eighth Amendment**

january/february 2019

Dan Wenner and Stan Twardy authored an article, "Forfeiture and the Eighth Amendment," published in the January/February issue of *GPSolo Magazine*, a publication of the American Bar Association.

### **Pitching Your Cybersecurity Case to Law Enforcement Agencies**

May 29, 2018

Steven Cash and Naju Lathia authored an article, "Pitching Your Cybersecurity Case to Law Enforcement Agencies," published by the *New York Law Journal*.

### **Red Flags 2015: Broker-Dealer and Investment Adviser Hot Topics**

November 5, 2015

## NEWS

### **Conn. Federal Court Shines Light On Day Pitney Atty's Service**

March 23, 2023

Day Pitney Attorney Stanley Twardy's selection as the recipient of the 2023 Richard Law Award by the judges of the District of Connecticut was featured in Law360 Pulse article, "Conn. Federal Court Shines Light On Day Pitney Atty's Service."

### **Connecticut Movers: Award for Twardy**

March 20, 2023

Day Pitney Attorney Stanley Twardy's selection as the recipient of the 2023 Richard Law Award by the judges of the District of Connecticut was featured in the *Connecticut Law Tribune* article, "Connecticut Movers: Award for Twardy."

### **Stan Twardy to Be Presented With Prestigious Richard Law Award**

March 15, 2023

*Day Pitney Press Release*

### **Law Journal Announces New Jersey Legal Awards Winners, Finalists**

September 23, 2021

Kevin Duffy and Naju Lathia were honored at the New Jersey Legal Awards Ceremony on September 23, at the Brooklake Country Club in Florham Park, NJ.

### **Barr's Special Counsel Pick Known For Not Caving To Politics**

December 2, 2020

Stan Twardy was quoted in the *Law360* article, "Barr's Special Counsel Pick Known For Not Caving To Politics."

## **CONTACTS**



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