

## Services & Industries

# Insurance Regulation and Transactions

## OVERVIEW

With its historical roots in Hartford, Connecticut, the Day Pitney Insurance practice has long enjoyed a close working relationship with some of the world's leading insurance companies. Many of our attorneys have served as leaders in various aspects of the insurance industry. Members of the Day Pitney insurance practice include the former Chairman of the Board of ARIAS-US, the former head of compliance of a national health insurer, the former president of a Texas-based life insurer, and a former state insurance legislator. The Day Pitney Insurance practice represents clients in litigation and non-litigation matters, with members of the Insurance Regulation and Transactions practice focused on assisting clients with their insurance regulatory and transactional needs.

We represent clients in every segment of the insurance industry. Our clients include both stock and mutual companies writing life, health, property/casualty, title, and surplus lines business. We also represent reinsurers as well as insurance producers and brokers. In addition, we serve as national counsel to companies that provide extended warranty and service contract products, and we counsel noninsurance companies on product offerings that may trigger the application of state insurance laws and regulations. We advise clients on matters involving the insurance laws and regulations of jurisdictions throughout the United States. We actively monitor current events in the industry, including pending legislation in the U. S. Congress, and we regularly send attorneys to the meetings of the National Association of Insurance Commissioners.

We assist our clients in a wide range of matters representing the complete life cycle of a company, from initial formation and licensing to insolvency and runoff. Our attorneys assist clients with corporate formation, licensing, expansion into new jurisdictions, redomestications, permissible investments, formation of captives, reinsurance transactions, corporate governance, forms filings, product development, financing transactions, legal opinions regarding various insurance law issues, mergers and acquisitions, public policy and legislation, corporate and regulatory compliance, market conduct examinations, healthcare law, enforcement actions, rehabilitation, liquidation and runoff matters.

## INSIGHTS

**NAIC Adopts Revised Model Bulletin on AI**

December 7, 2023

*Day Pitney Alert*

### **Day Pitney Insurance Compliance Best Practices Meeting**

June 7, 2016

On June 7, the firm hosted its semiannual Insurance Compliance Best Practices Meeting. The meeting was attended by the compliance officers of eight leading insurance companies. The agenda featured a presentation by Katherine L. Wade, Commissioner of the Connecticut Insurance Department. In addition, the agenda covered the National Association of Insurance Commissioners model laws on data security and corporate governance disclosure, U.S. Department of Labor new Fiduciary Rule, and compliance officer liability exposure. Presentations on the agenda topics were made by Bill Goddard, Jim Bowers, Steve Cash, Eliza Fromberg, Mike Fernicola, and Dan Raccuia.

### **Defense Counsel, In-House Counsel, and the Many Carriers: Ethical Concerns About the Ultimate Privilege**

November 2, 2017

Joe Scully was a featured panelist in a discussion, "Defense Counsel, In-House Counsel, and the Many Carriers: Ethical Concerns About the Ultimate Privilege," at the Northeast Regional Claims Conference in Hartford on November 2.

### **Meeting of the Day Pitney Insurance Compliance Best Practices Group**

November 11, 2015

### **Mike Mullins Chairs Fifth Annual MReBA Symposium**

October 3, 2013

## **NEWS**

### **68 Day Pitney Lawyers Named to 2016 Best Lawyers List**

August 24, 2015

**Stamford, Conn., August 24, 2015** - Day Pitney is pleased to announce that 68 attorneys have been selected for inclusion in the 2016 Best Lawyers in America. Best Lawyers ranks lawyers through peer-review surveys, and has been published annually since 1983.

### **Chambers USA 2015 Ranks 51 Day Pitney Attorneys**

May 21, 2015

### **Eighty-Four Day Pitney Attorneys Recognized by Super Lawyers for 2014**

November 3, 2014

### **Seventy Day Pitney Lawyers Named to 2015 Best Lawyers List**

August 22, 2014

### **U.S. News-Best Lawyers Ranks Day Pitney Among Firms with Top Practice Areas in 2014 "Best Law Firms" Survey**

November 11, 2013

## CONTACTS



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