

## Services & Industries

# Financial Services

## OVERVIEW

The regulatory landscape in the financial industry is constantly evolving. Day Pitney lawyers have the knowledge and experience to help financial institutions navigate through the complex maze of regulatory initiatives as they develop. We bring financial institution clients extensive experience not only with the state and federal legal and regulatory frameworks within which they operate, but also with the applicable regulatory bodies and agencies.

Day Pitney lawyers represent financial institutions in a wide array of matters including federal and state regulatory advice, merger and acquisition transactional services, capital raising, securities law reporting, institutional finance and commercial lending, consumer compliance, counseling on litigation matters, and creditors' rights. Our financial services clients include commercial banks and thrift institutions, holding companies, trust companies, credit unions, mortgage companies and mortgage servicers, automobile lenders, and credit card companies. Our firm also has teams dedicated to national and international broker-dealers, investment advisers and hedge funds. In addition, the firm regularly represents state and local governments, underwriters, borrowers, and corporate trustees in financing transactions.

## INSIGHTS

### **Banking Agencies Issue Examiner Guidance on Assessing the Safety and Soundness of Banks Due to Impact of COVID-19**

June 24, 2020

*Day Pitney Alert*

### **New Laws with Major Impact on Employers Operating in New Jersey Taking Effect: What You Need to Know to Comply**

April 2020

On April 24, Francine Esposito and Laura Schuman delivered a Business & Legal Resources (BLR)'s webinar entitled "New Laws with Major Impact on Employers Operating in New Jersey Taking Effect: What You Need to Know to Comply."

## **Relief for Banks in the COVID-19 CARES Act**

March 27, 2020

*Day Pitney Alert*

## **COVID-19 Temporary Relief: New York-Regulated Banks Required to Grant 90-Day Forbearances for Some Borrowers**

March 25, 2020

*Day Pitney Alert*

## **Five Tips for Lenders to Manage the Impact of COVID-19**

March 19, 2020

*Day Pitney Alert*

## **NEWS**

### **Day Pitney Represents VCV Digital Technology in its Business Combination with Fortune Rise Acquisition Corporation**

May 2, 2022

VCV Digital Technology announced that it plans to become a publicly traded company via a business combination with Fortune Rise Acquisition Corporation (NASDAQ: FRLAW, FRLA and FRLAW), a special purpose acquisition corporation.

### **Lakeland Completes Merger With 1st Constitution**

January 11, 2022

On January 6, 2022, 1st Constitution Bancorp and Lakeland Bancorp, Inc. completed a merger in which Lakeland acquired 1st Constitution, creating the fifth-largest bank headquartered in New Jersey.

### **Day Pitney Represents TriStruX LLC in Sale to Huron Capital**

December 23, 2021

Day Pitney LLP represented TriStruX LLC in its successful sale to Huron Capital, a middle-market private equity firm.

### **Day Pitney Named "Law Firm of the Year" for Trusts & Estates Law by U.S. News - Best Lawyers for Second Year in a Row**

November 4, 2021

*Day Pitney Press Release*

### **SEC's 1st Crowdfunding Enforcement Turns Heat On Portals**

September 24, 2021

New York partner Eliza Sporn Fromberg was featured in a *Law360* article discussing the potential impact of the SEC's first enforcement action on crowdfunding portals.