

Services & Industries

Financial Services

OVERVIEW

The regulatory landscape in the financial industry is constantly evolving. Day Pitney lawyers have the knowledge and experience to help financial institutions navigate through the complex maze of regulatory initiatives as they develop. We bring financial institution clients extensive experience not only with the state and federal legal and regulatory frameworks within which they operate, but also with the applicable regulatory bodies and agencies.

Day Pitney lawyers represent financial institutions in a wide array of matters including federal and state regulatory advice, merger and acquisition transactional services, capital raising, securities law reporting, institutional finance and commercial lending, consumer compliance, counseling on litigation matters, and creditors' rights. Our financial services clients include commercial banks and thrift institutions, holding companies, trust companies, credit unions, mortgage companies and mortgage servicers, automobile lenders, and credit card companies. Our firm also has teams dedicated to national and international broker-dealers, investment advisers and hedge funds. In addition, the firm regularly represents state and local governments, underwriters, borrowers, and corporate trustees in financing transactions.

INSIGHTS

Legal Updates for Mortgage Lenders and Servicers

February 29, 2024

Day Pitney is hosting a CLE webinar series for mortgage lenders and servicers, which will include three insightful sessions, covering updates in New Jersey, New York and Massachusetts; the use of artificial intelligence; and cybersecurity concerns.

The 11th Circuit Finds a Single Text Message Sufficient Injury for Article III Standing

July 26, 2023

Day Pitney Advisory

Attack of the Crypto Acronyms! ICOs, STOs, IEOs, IDOs – What is What?

September 19, 2022

Day Pitney Technology Partners Kritika Bharadwaj and Richard Harris authored an article titled, "Attack of the Crypto Acronyms! ICOs, STOs, IEOs, IDOs – What is What?" that was published on TabbFORUM, an open community that provides a platform for capital markets professionals to share their ideas and thought leadership with their peers.

New Laws with Major Impact on Employers Operating in New Jersey Taking Effect: What You Need to Know to Comply

April 2020

On April 24, Francine Esposito and Laura Schuman delivered a Business & Legal Resources (BLR)'s webinar entitled "New Laws with Major Impact on Employers Operating in New Jersey Taking Effect: What You Need to Know to Comply."

Relief for Banks in the COVID-19 CARES Act

March 27, 2020

Day Pitney Alert

NEWS

Day Pitney Receives Top Rankings in U.S News & World Report's – Best Lawyers "Best Law Firms" for 2024

November 2, 2023

Day Pitney Press Release

Day Pitney Receives Top Rankings in U.S News & World Report's – Best Lawyers "Best Law Firms"

November 3, 2022

Day Pitney Press Release

Valley National Bancorp Closes Issuance and Sale of \$150M Sustainable Subordinated Notes

September 22, 2022

Day Pitney Press Release

Day Pitney Represents VCV Digital Technology in its Business Combination with Fortune Rise Acquisition Corporation

May 2, 2022

VCV Digital Technology announced that it plans to become a publicly traded company via a business combination with Fortune Rise Acquisition Corporation (NASDAQ: FRLAW, FRLA and FRLAW), a

special purpose acquisition corporation.

Lakeland Completes Merger With 1st Constitution

January 11, 2022

On January 6, 2022, 1st Constitution Bancorp and Lakeland Bancorp, Inc. completed a merger in which Lakeland acquired 1st Constitution, creating the fifth-largest bank headquartered in New Jersey.